

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): HARTWIG et al.  
SERIAL NO.: Not Yet Assigned  
FILED: Herewith  
FOR: ENANTIOSELECTIVE AMINATION AND ETHERIFICATION  
INT'L. APPLN. NO.: PCT/US2003/028,718  
INT'L. FILING DATE: 12 September 2003  
GROUP ART UNIT:  
EXAMINER:

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 223313-1450

## INFORMATION DISCLOSURE STATEMENT

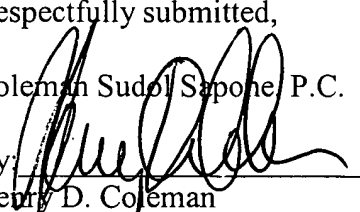
SIR:

Pursuant to the Duty to Disclose under 37 C.F.R. §1.56(a), applicant encloses herewith a copy of Form PTO-1449 listing patent and other documents relevant to the background of the invention described and claimed in the above-identified application. Inclusion of a reference on this list does not imply that that reference is prior art. For the convenience of the Examiner, copies of the listed non-U.S. patent documents are enclosed.

Applicant respectfully requests that the Examiner consider the enclosed references in determining the patentability of the claimed invention. Applicant also requests that the Examiner return a copy of enclosed Form PTO-1449 with initials or other marks indicating that the references have been so considered. If any fee is due, please charge/credit deposit account no. 04-0838.

Respectfully submitted,

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112 Rec'd PCT/PTO 14 MAR 2005

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on March 14, 2005.

*Harold Hull*

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Harold Hull

<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>	Att'y Ref: Y03-103US Nat	Serial No: Not Yet Assigned
	Applicant: HARTWIG et al.	
	Filing Date: Herewith	Art Unit:

United States Patent Documents						
Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date
	6,562,989	05/2003	Hartwig et al.			
	6,451,937	09/2002	Hartwig et al.			
	6,384,282	05/2002	Hartwig et al.			
	6,235,938	05/2001	Hartwig et al.			
	6,100,398	08/2000	Hartwig et al.			
	6,072,073	06/2000	Kawatsura et al.			
	6,057,456	05/2000	Hartwig et al.			
	5,977,361	11/1999	Hartwig et al.			
	5,817,877	10/1998	Hartwig et al.			
	5,089,460	02/1992	Chien			
	5,166,281	11/1992	Chamla et al.			
	5,395,971	03/1995	Nicholas et al.			
	5,962,360	10/1999	Sivaram et al.			
	4,859,799	08/1989	Campestrini			
	2003/ 0199713	10/2003	Berg Van Den et al.			

Examiner:	Date Considered:
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered; Include a copy of this form with next communication to the applicant.	

Foreign Patent Documents							
Examiner Initial	Publication Number	Publication Date	Country	Class	Subclass	Translation	
						Yes	No
	WO 02/04466	01/2002	WIPO				
	WO 01/23088	05/2001	WIPO				

Examiner Initial	Other Documents (by Title, Author Date, Pertinent Pages, Etc.)
	Bartels, B. et al., Eur. J. Inorg. Chem. 2002, 2569-2586.
	Ohmura, T. et al., J. Am. Chem. Soc. 2002, 124, 15164-15165.
	Lopez, F. et al., J. Am. Chem. Soc. 2003, 125, 3426-3427.

Examiner:	Date Considered:
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered; Include a copy of this form with next communication to the applicant.	